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Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	
Filing Date	11/26/2003
First Named Inventor	TADAHIRO OHMI
Art Unit	2818
Examiner Name	
Attorney Docket Number	040258-0306939

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (If known)				
DV	F	JP	9-223752	08-26-1997	MINE		
DV	G	WO	01/69673	03-13-2001			

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO				<b>Complete If Known</b>	
				Application Number	
				Filing Date	11/26/2003
				First Named Inventor	TADAHIRO OHMI
				Art Unit	2818
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	040258-0306939

<b>NON PATENT LITERATURE DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T <sup>2</sup>
DV	A	ITO ET AL., "Silicon Oxynitridation With Inductively Coupled Oxygen-Nitrogen Mixed Plasma," Japan J. Appl. Phys., p. 612-616, (1997).				
DV	B	HIRAYAMA ET AL., "Low-Temperature Growth of High-Integrity Silicon Oxide Films by Oxygen Radical Generated in High-Density Krypton Plasma," IEDM, p. 249-252, (1999).				
DV	C	SAITO ET AL., "Low Temperature Formation of Gate-Grade Silicon Nitride Film Employing Microwave-Excitation High-Density Plasma," Technical Report of IEICE, p. 85-90, (1999).				

Examiner Signature	<i>Shuland</i>	Date Considered	06/17/04
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		Application Number <b>10/721,473</b> Filing Date <b>11/26/2003</b> First Named Inventor <b>TADAHIRO OHMI</b> Art Unit <b>2818</b> Examiner Name <b> </b> Attorney Docket Number <b>040258-0306939</b>	

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Examiner Signature	Sharon	Date Considered	06/17/04
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